



COLORADO

**Department of Health Care
Policy & Financing**

Department of Health Care Policy & Financing
1570 Grant Street
Denver, CO 80203

April 1, 2016

DAL# P040116TC

Effective Date: April 15, 2016
Expiration Date: December 31, 2016

Subject: Instructions for the Conversion ICD-9 Diagnosis Code, "311, Depression Not Otherwise Specified (NOS)" to the appropriate ICD-10 Diagnosis Code

Dear Administrator:

On October 1, 2015, the use of the DSM-5 and ICD-10 was initiated nation-wide for common billing practices. This required a "crosswalk" from previous nomenclature, the DSM-4TR and the ICD-9. A complicating translation from the DSM-4TR to the ICD-10 occurred with the diagnosis of "311, Depression NOS" becoming "F-32.9, Major Depressive Disorder, single episode, unspecified."

The conversion from Depression NOS to a Major Depressive Disorder has the resultant effect of persons who previously did not have a major mental illness (MMI) are now categorized with one. The implications of this change to the Pre-Admission Screening and Resident Review (PASRR) process are:

- a. The nursing homes' census for residents with MMI could trigger an Institute for Mental Disease (IMD) status for the facility.
- b. The facility may need to request a PASRR Resident Review/Status Change to determine if a reevaluation of the resident is necessary.
- c. The MDS coding for appropriate Medicaid/Medicare billing must align with the ICD-10 coding.
- d. The increased utilization of OBRA Specialized Services for a potentially expanded population due to the increase of residents with MMI.

After Colorado OBRA coordinators reviewed the requirements of the F-32.9 diagnosis as well as another diagnosis, "F-32.8, Other depressive episodes," the State Mental Health Authority recommended that F-32.8 be used for those residents who were previously diagnosed with "311, depression NOS. This recommendation was met with resistance by stakeholders who were concerned that utilizing F-32.8 would cause multiple complications and billing errors within state and federal data systems.

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As a result of this feedback, the following instructions will be implemented with regard to the conversion from ICD-9 to ICD-10 for residents diagnosed with Depression NOS:

1. For those residents who are categorized for the first time as having "F32.9, Major Depressive disorder single episode, unspecified" due to ICD-10 conversion, the facility is directed to complete EITHER the Geriatric Depression Scale (GDS) OR the PHQ9.
2. For those residents whose GDS score is 6 or below, the GDS needs to be filed in the resident's record, along with a PASRR progress note with the following content:
 - a. A statement regarding the ICD-9 to ICD-10 translation issue;
 - b. A notation of completing the GDS; and
 - c. The GDS score.
3. For those residents whose PHQ-9 score is 9 or below, the PHQ-9 needs to be filed in the resident's record, along with a PASRR progress note with the following content:
 - a. A statement regarding the ICD-9 to ICD-10 translation issue;
 - b. A notation of completing the PHQ-9; and
 - c. The PHQ-9 score.
4. For those residents whose GDS or PHQ-9 scores are ABOVE the noted values, a Post Admission Level I needs to be completed, and sent to the OBRA coordinator for Status Change/Resident Review.
5. Of note, with the GDS, should the individual not be able to participate, "0" for the GDS needs to be indicated, with a notation of client's inability to complete.
6. The facility would need to contact its Medical Director to change the diagnosis on the record from the ICD-10 coded Major Depressive Disorder to a non-major mental illness diagnosis.

As each impacted resident has his or her quarterly review, the facility should complete the above steps. **Facilities are expected to complete this ICD-10 conversion by October 1, 2016.**

For any questions concerning this process, please contact Ann Seanor, OBRA-PASSR Manager, by phone at 303-866-7431 or by email at ann.seanor@state.co.us.

