

Transfer and Discharge

F202 483.15(c)(2)

[beginning November 28, 2017 (Phase 2)]

When the facility transfers or discharges a resident... the facility must ensure that the transfer or discharge is documented in the resident's medical record and appropriate information is communicated to the receiving health care institution or provider.

Documentation in the resident's medical record must include:

- The basis for the transfer;
- [When the transfer or discharge is necessary for the resident's welfare and the resident's needs cannot be met in the facility], the specific resident need(s) that cannot be met, facility attempts to meet the resident needs, and the service available at the receiving facility to meet the need(s)

The documentation required must be made by... the resident's physician

[Note: Documentation of the transfer/discharge may be completed by a physician extender unless prohibited by State law or facility policy].

Information provided to receiving provider must include a minimum of:

- **Contact information of practitioner responsible for the care of the resident;**
- **Resident representative information including contact information;**
- **Advance Directive information;**
- **All special instructions or precautions for ongoing care, as appropriate;**
- **Comprehensive care plan goals;**
- **All other necessary information, including a copy of the resident's discharge summary... and any other documentation, as applicable, to ensure a safe and effective transition of care.**

Relevant Interpretive Guidelines

Did the resident's physician document the record if:

- The resident was transferred/discharged for the sake of the resident's welfare and the resident's needs could not be met in the facility (e.g., a resident develops an acute condition requiring hospitalization)? Or
- The resident's health improved to the extent that the transferred/discharged resident no longer needed the services of the facility.
- Residents were transferred because the health of individuals in the facility was endangered